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Conformation and Cope Rearrangement of sym-Oxepin Oxides

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Abstract: The synthesis of a homologous series of transoid, bridged sym-oxepin oxides (10a-c) is described. The lower homologues, 10a,b, do not undergo facile Cope rearrangement to the sym-oxepin oxides 15a,b. The generation of transoid 10c led rapidly to the production of the Cope rearrangement product 15c. The differing reactivity in the series 10a-c is attributed to the inability of 10a,b to interconvert with their cisoid isomers, 14a,b. The production of 15c is thought to occur via ring inversion of transoid 10c to cisoid 14c, followed by rapid Cope rearrangement ($14c \rightarrow 15c$). Under forcing conditions 10a,b undergo epoxide opening and a subsequent rearrangement.

In an elegant scheme Neuss and co-workers in 1968 postulated¹ the intermediacy of an oxepin oxide (1, Scheme I) during the fungal biogenesis of the aranotins (e.g., acetylaranotin, 2). Thus, it was suggested, the stereochemistry of the dihydrooxepin moiety of the aranotins is established by intramolecular displacement at carbon with Walden inversion in enzvme-bound epoxide 1.

The first syntheses of an oxepin oxide were communicated by Klein and Grimme,^{2a} and by us^{2b} in 1974-1975. Subsequently, we detailed³ our conversion of benzene oxide oxepin to sym-oxepin oxide (3, R = H, Scheme II), and studied the conformation and Cope rearrangement of 3 (R = H) by ¹H NMR spectroscopy.⁴ Other studies revealed the Cope rearrangement of a methylated derivative⁵ and helped define the scope⁶ of our synthetic approach to oxepin oxides. Further, we reported the synthesis of the bridgehead diene 10a7 (Scheme IV) and characterized a derivative of 10a by X-ray crystal analysis.8

The possible intermediacy of an enzyme-bound oxepin oxide in biogenesis (Scheme I) raises an intriguing question of stereochemistry. A priori one must consider two stereochemical outcomes for the Cope rearrangement of a chiral oxepin oxide (4, Scheme III). In principle, 4 could interconvert, via Cope rearrangement, with its diastereomer 5 ($5 \neq 4$) or with its rotamer 6 (6 = 4). The interconversion $4 \rightleftharpoons 5$ would proceed via a transition state resembling cisoid conformation 3a (Scheme II); the degenerate rearrangement $4 \rightleftharpoons 6$ would proceed via a transoid transition state⁹ (cf. 3b, Scheme II). Thus, the stereochemical integrity of an intermediate, chiral oxepin oxide would depend on the rate and the geometrical requirements of the Cope rearrangement. Herein we report that oxepin oxides locked in transoid conformations do not







Scheme III



undergo facile Cope rearrangements, in sharp contrast to conformationally mobile oxepin oxides.

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Synthesis and Reactivity Studies

Strategy. The bridged arene oxide-oxepin systems $8 \rightleftharpoons 7$ (Scheme IV) were reported by Vogel and Günther.¹⁰ The lower homologues exist as valence tautomers **8a** and **8b**, and lack the characteristic orange color of oxepins (see valence tautomers **7a** and **7b**). The spectral properties of the highest homologue indicate the presence of an arene oxide-oxepin mixture with comparable concentrations of the components, **7c** \rightleftharpoons **8c**. Thus, the strain associated with the bridgehead double bonds of valence tautomers **7a-c** influences the position of the equilibrium **7** \rightleftharpoons **8**.

Application of our arene oxide \rightarrow oxepin oxide conversion^{2b,3,5,7} using **8a-c** as starting materials would produce oxepin oxides 10a-c (Scheme IV). Ample precedent^{4,5} indicated that nitrogen extrusion from azo diepoxides 9a-c would occur with participation of only the epoxide anti fused to the azo bridge. The oxepin oxides, so produced, would be generated in transoid conformations (compare 10a-c with 3b). At least in the lowest homologue, 10a, the methylene bridge would prevent interconversion of transoid 10a with its cisoid isomer 14a (Scheme V). The conversion $10a \rightarrow 14a$ would require passage of the bis enol ether oxygen through the six-membered ring formed by the methylene bridge, the bridgehead carbons, and the ether oxygen. Lengthening of the methylene bridge, at some point, would allow passage of the ether oxygen through the bicyclic framework interconverting transoid (10) and cisoid (14) conformers. By this strategy similarly substituted conformationally rigid and conformationally mobile oxepin oxides could be made. The rigid lower homologue(s), e.g., 10a, would display properties attributable to the transoid geometry.

With regard to Cope rearrangement, the strain of the bridgehead double bonds would *thermodynamically*, if not kinetically, favor rearrangement of the lower homologues **10a,b** to the Cope rearrangement products **15a,b** (Scheme V). Thus, the same thermodynamic factors which drive **7a,b** toward **8a,b** (Scheme IV) would favor the rearrangement **10a,b** \rightarrow **15a,b**.¹¹

In order to examine the conformational requirements for the Cope rearrangement of oxepin oxides, we have undertaken the syntheses of **10a-c.** Our results follow.

Oxepin Oxide 10a, n = 1.7 Azo diepoxide **9a** (Scheme IV) is thermally labile but may be characterized by ¹H NMR at -40 °C. Brief warming of the NMR solution to ambient temperature leads to nitrogen extrusion and the quantitative (¹H NMR) generation of **10a.** A similar sequence from *syn*-2-hydroxyindan 3a,7a-oxide¹² (i.e., hydroxy-substituted **8a**) produced a substituted derivative of **10a**,⁸ for which an X-ray, crystal analysis clearly revealed a transoid conformation and twisted bridgehead double bonds.

At ambient temperature, conformationally mobile oxepin oxides^{4,5} undergo rapid Cope rearrangement.¹³ Strained, transoid oxepin oxide **10a** is not observed to rearrange to the Cope product **15a** (Scheme V), however, under a variety of thermal conditions. The cis divinyl oxirane, **10a**, is isolable and readily purified to analytical purity by sublimation. Under forcing conditions (pyrolysis or acid) **10a** rearranges in low yield to the *p*-quinol **13a** (Scheme V1).

Oxepin oxide 15a, though apparently inaccessible via Cope rearrangement of 10a, is readily generated from indan 5,6oxide (17, Scheme V11), via azo diepoxide 18. Nitrogen extrusion from 18, with participation of the anti-fused epoxide, produces 15a directly. Oxepin oxide 15a shows no tendency (¹H NMR) to interconvert with transoid 10a or cisoid 14a. Upon treatment with trace acid 15a quantitatively ring contracts to spiro ketone 16a (Scheme V).

Oxepin Oxide 10b, n = 2. Azo diepoxide **9b** (Scheme IV) in solution (CDCl₃) extrudes nitrogen at ambient temperature over a period of several hours with the quantitative (¹H



Scheme V



NMR) generation of oxepin oxide **10b.** Alternatively, sublimation of solid **9b** yields white, crystalline **10b**.

Stable, transoid oxepin oxide 10b is not observed to rearrange to Cope product 15b (Scheme V); under forcing conditions (pyrolysis or acid) 10b rearranges in moderate yield to p-quinol 13b (Scheme VI).

Oxepin Oxide 10c, n = 3. In sharp contrast to the above cases (**9a,b**) nitrogen extrusion (pyridine- d_5 , 60 °C, 2 h) from azo diepoxide 9c (Scheme IV) gives the Cope rearrangement product, oxepin oxide 15c (Scheme V), in quantitative yield (¹H NMR). The strongly precedented^{4,5} participation of an anti-fused epoxide in nitrogen extrusion demands the intermediacy of transoid 10c in the nitrogen extrusion from azo diepoxide 9c. At no point during the nitrogen extrusion process, however, are seen 'H NMR absorptions attributable to transoid oxepin oxide 10c or its cisoid conformer 14c. Intermediates 10c and/or 14c are effectively trapped by nitrogen extrusion in the presence of trace acid (MeSO₃H). Under these conditions the ring contraction product from 10c/14c, aldehyde 19 (Scheme VIII), is formed, accompanied by the ring contraction product from 15c, spiro ketone 16c (Scheme V) (15c:16c = 1:1). Throughout the extrusion process from azo diepoxide 9c, in the presence of trace acid, aldehyde 19 and spiro ketone 16c are formed at the same rate, implicating the same rate-deter-



Scheme VII



Scheme VIII



mining step (nitrogen extrusion) during their formation. By contrast, addition of acid *after* formation of Cope product **15c** gives rise only to spiro ketone **16c**.

Discussion

Conformation and Cope Rearrangement. An examination of molecular models readily shows the origin of the kinetic stability of transoid oxepin oxides 10a,b. Dreiding models show the dihedral angle between the epoxide C-H bonds and the vicinal, vinyl C-H bonds to be approximately 65° in transoid 10a or 10b. X-ray crystal analysis of a derivative of 10a⁸ revealed a dihedral angle of 69.4° between the corresponding C-H bonds. By contrast, a model of cisoid 14a,b shows the epoxide C-H bonds and the vinyl C-H bonds to be more nearly coplanar, with a dihedral angle of approximately 15°. In the transition state for Cope rearrangement, double-bond character must develop between the epoxide carbons and the adjacent vinyl carbons. A cisoid transition state, with more nearly coplanar substituents on the developing double bond, will be lower in energy than a transoid transition state, with severely twisted double bonds.¹⁴ The twist of the ground-state double bonds of 10a,b⁸ is not sufficient to compensate for the higher energy transoid transition state: consequently **10a,b** are *kinetically* stable.

A similar effect of conformation was shown by Grimme¹⁵ in the Cope rearrangement of a series of *cis*-bicyclo[6.1.0]nona-2,6-dienes, in which steric inhibition of the reactive conformation for Cope rearrangement led to higher activation barriers for rearrangement. In all cases studied, though, rearrangement occurred via cisoid transition states. The prediction by Doering and Roth¹⁴ of the facility of Cope rearrangements of homotropilidenes (the hydrocarbon analogues of oxepin oxides) via cisoid transition states has been borne out in several other cases.¹⁶ Of particular interest are the bridged, fixed cisoid homotropilidenes¹⁷ such as bullvalene, barbaralane, and semibullvalene, which undergo rapid, degenerate Cope rearrangements.

The failure to observe Cope rearrangement of oxepin oxides **10a,b** is attributed to the high barrier for ring inversion of **10a,b** to the cisoid isomers **14a,b**. That the bis enol ether oxygen of **10c** should be capable of passage through the eight-membered ring formed by the bridging methylene groups, the bridgehead carbons, and the ether oxygen is readily appreciated from inspection of Dreiding molecular models. Further, the passage of the ether oxygen through the eight-membered ring of **10c** is precedented by the racemization of *trans*-cyclooctene,¹⁸ which occurs by passage of a vinyl C-H bond through the eight-membered ring of the cycloalkene.

The quantitative rearrangement of $10c \Rightarrow 14c$ to 15cstrongly points to kinetic rather than thermodynamic factors controlling the stability of the lower homologues 10a,b. Thus, a release of strain would accompany the rearrangements 10a,b \rightarrow 15a,b were it not for the kinetic barrier imposed by ring inversion. This view is further supported by the thermodynamic stability of 15a produced via the reactions of Scheme VII. A similarly substituted epoxide, 4.5-dimethyloxepin oxide,⁵ undergoes quantitative Cope rearrangement to 2,7-dimethyloxepin oxide. Although 15a can easily adopt the cisoid conformation, Cope rearrangement to 14a would introduce the strain associated with the bridgehead double bonds.

Rearrangements Induced by Epoxide Cleavage. The rearrangements of transoid oxepin oxides 10a,b to p-quinols 13a,b are depicted in Scheme VI. The enols 11a,b may be derived via epoxide to ketone isomerization of 10a,b. Oxepin to arene oxide valence tautomerization (11a,b \rightarrow 12a,b, cf. Scheme IV) would release the bridgehead strain of oxepins 11a,b. Finally, enolassisted epoxide opening of 12a,b would produce p-quinols 13a,b.

In contrast to the relatively acid stable 10a (see Experimental Section) kinetically invisible 10c and/or its conformer 14c are rapidly trapped by acid. The ring contractions of 10c and/or 14c and of 15a,c follow the pattern seen in unbridged systems^{2,3,5} in which a high degree of bis enol ether lone pair participation renders the epoxides highly labile to acid. The conformational mobility of 10c and 14c allows the ring flattening which must accompany oxygen lone pair participation. Similar acid lability was used to trap kinetically invisible 4,5-dimethyloxepin oxide prior to its Cope rearrangement to 2,7-dimethyloxepin oxide.⁵

Biological Implications. The Cope rearrangement of a chiral, enzyme-bound oxepin oxide (4, Scheme 111) would most certainly lead to a loss of stereochemical integrity of the intermediate via the interconversion 4 = 5. Short of releasing the presumably undesired diastereomer (e.g., 5) an enzyme might circumvent the problems posed by the interconversion 4 = 5in several ways. Conversion of oxepin oxide 4 to a product of epoxide opening (see Scheme 1) could be much faster than stereochemical scrambling by Cope rearrangement.¹⁹ Alternatively, an enzyme could preferentially bind or induce further reaction of one diastereomer, thereby driving the equilibrium 4 = 5 in either direction. Finally, preferential binding and reaction via the transoid conformation of **4** would totally prevent Cope rearrangement and the consequent loss of stereochemical integrity.

Experimental Section

¹H NMR spectra were obtained on a Perkin-Elmer Hitachi R-20 or R-24B (60 MHz) and ¹³C NMR spectra (15 MHz) on a JEOL FX-60 Q spectrometer. Mass spectra were determined on a CEC 110B Mattauch-Herzog (Du Pont Instruments) high-resolution mass spectrometer and infrared spectra on a Perkin-Elmer 567 grating infrared spectrophotometer. Melting points are uncorrected and were obtained in open capillary (Mel-Temp instrument). All glassware used for the preparation or handling of the conformationally mobile, acid-labile oxepin oxides was base treated.³ Chlorinated solvents were routinely purified by passage through basic alumina immediately prior to use; THF was distilled from sodium-potassium benzophenone ketyl.

Preparative work with arene oxides and conformationally mobile oxepin oxides is often complicated by the extreme lability of the materials. Attempts to isolate certain arene oxides (vide infra) may lead to violent, exothermic decomposition; isolation of oxepin oxides may lead to glass-surface-catalyzed rearrangement. Oxepin oxides and their pyran ring-contraction products are oxygen sensitive. Where isolation was shown to be impractical, we have characterized these materials as fully as possible in solution.

Syntheses of Azo Diepoxides 9a-c. The syntheses of 9a-c parallel our synthesis of the azo diepoxide precursor of the parent compound, sym-oxepin oxide.^{2b,3} The route to 9a, previously communicated,⁷ is detailed in the microfilm supplement²⁰ to this paper; the routes to 9b,c are analogous.

Preparation of Oxepin Oxide 10a (n = 1), A suspension of the cuprous complex of 9a²⁰ (1.6 g, corresponding to 8.31 mmol of adduct diepoxide) in CH₂Cl₂ (5.0 mL) was cooled and treated with 20% (w/v) aqueous NH₃ (5.0 mL) as described in ref 3 and 20. The mixture was warmed to ambient temperature, resulting in vigorous N₂ evolution, and the organic and aqueous layers were separated. The aqueous layer was further extracted with CH₂Cl₂ and the combined organic layers were dried (MgSO₄) and rotary evaporated to solid oxepin oxide 10a. Sublimation (60 °C, 0.05 mmHg) yielded white prisms (0.764 g, 61% based on adduct diepoxide): mp 67-69 °C; ¹H NMR (CDCl₃) δ (Me₄Si) 2.33 (m, 6 H), 3.83 (AA'XX', 2 H), 5.00 (AA'XX', 2 H); ¹³C NMR (CDCl₃) δ (Me₄Si) 29.56 (t, $J \simeq 130 Hz$), $30.21 (t, J \simeq 130 \text{ Hz}), 53.29 (d, J = 175 \text{ Hz}), 107.86 (d, J = 164 \text{ Hz}),$ 169.11 (s); IR (CDCl₃) 1676, 1662, 1396, 1101 cm⁻¹; UV (MeCN) λ_{max} (shoulder) 230 nm (ϵ 8.5 × 10²); (EtOH) λ_{max} 231 nm (ϵ 5.4 × 10^2); exact mass calcd for C₉H₁₀O₂ m/e 150.068, found 150.070. Anal. Calcd for C₉H₁₀O₂: C, 71.98; H, 6.71. Found: C, 72.01; H, 6.61

Preparation of Oxepin Oxide 15a. The starting material needed for preparation of oxepin oxide **15a** was the previously unreported indan 5,6-oxide (**17**) which we prepared by a modification of Vogel and Günther's procedure¹⁰ for indan 3a,7a-oxide (**8a**). Thus, the dihydroindan²¹ was first brominated, then epoxidized; the reversal of steps from the procedure for **8a** gives the correct position for the epoxide in the dibromo epoxide precursor for **17**. Details of our synthesis of **17** and its conversion to oxepin oxide **15a** are given in the microfilm supplement.²⁰ Data for **15a**: ¹H NMR (py-d₅) δ (Me₄Si) 1.50 (m, 6 H), 5.16 (d, J = 7 Hz, 2 H), 6.29 (d, J = 7 Hz, 2 H); IR (py·d₅) 1670, 1650 cm⁻¹

Partitioning of the pyridine- d_5 NMR sample of **15a** between 5% HCl and CDCl₃ and separation and drying of the organic phase resulted in complete conversion to spiro ketone **16a**: ¹H NMR (CDCl₃) δ (Me₄Si) 1.90 (m, 4 H), 2.23 (m, 2 H), 4.52 (AA'XX', 2 H), 6.38 (AA'XX', 2 H); ¹³C NMR (CDCl₃, ¹H decoupled) δ (Me₄Si) 18.12, 35.52, 40.72, 46.89, 103.19, 141.05, 217.62; IR (CHCl₃) 1743, 1685, 1621, 1268 cm⁻¹; exact mass calcd for C₉H₁₀O₂ *m/e* 150.068, found 150.069.

Preparation of Oxepin Oxide 10b (n = 2). Azo diepoxide **9b** was prepared by a route analogous to that detailed in the microfilm supplement²⁰ for homologue **9a**. The azo diepoxide (**9b**) so produced is more thermally stable than **9a** and could be more fully characterized: mp 73–75 °C dec; ¹H NMR (CDCl₃) δ (Me₄Si) 1.24 (m, 4 H), 1.88 (m, 4 H), 3.34 (AA'XX', 2 H), 5.75 (AA',XX', 2 H); 1R (KBr) 2932, 1441, 1209 cm⁻¹; exact mass calcd for C₁₀H₁₂O₂ (M⁺ - N₂) *m/e* 164.084, found 164.084. Nitrogen extrusion from **9b** in CDCl₃ solution at ambient temperature for several hours produced transoid oxepin oxide **10b** quantitatively (¹H NMR). Alternatively, sublimation (80 °C, 0.2 mmHg) of solid **9b** (0.216 g, 1.32 mmol) yielded white, crystalline **10b** (0.166 g, 90%); mp 62 °C; ¹H NMR (CDCl₃) δ (MeaSi) 1.71 (br m, 4 H), 2.24 (br m, 4 H), 3.60 (AA'XX', 2 H), 5.03 (AA'XX', 2 H); ¹³C NMR (CDCl₃) δ (MeaSi) 25.44 (t, J = 129 Hz), 32.51 (t, J = 130 Hz), 53.36 (d, J = 177 Hz), 108.82 (d, J = 162 Hz), 167.14 (s); IR (CCl₄) 2918, 1689, 1672 cm⁻¹; UV (EtOH) λ_{max} 216 m (ϵ 7.4 × 10²); exact mass calcd for C₁₀H₁₂O₂ *m/e* 164.084, found 164.083.

Pyrolyses and Acid-Catalyzed Rearrangements of Transoid Oxepin Oxides 10a,b. Oxepin oxide 10a was recovered as the sole volatile component after sublimation in a nitrogen stream (7 cm³ min⁻¹) through a hot tube at 220 and 320 °C (estimated average residence time 40 s). Heating of 10a at 142 °C in Cl₂CDCDCl₂ solution for 2 h caused no perceptible change of the ¹H NMR spectrum; at 192 °C extensive decomposition to unidentified products was observed after 1 h. Sublimation of 10a (0.027 g, 0.18 mmol) in vacuo (60 °C, 0.1 mmHg) through a Pyrex tube, packed with Pyrex beads and heated to 250 °C, gave a mixture of materials including 10a and p-quinol 13a. Sublimation (60 °C, 0.1 mmHg) of the product mixture gave an oily solid (23 mg) which, by ¹H NMR analysis (vs. an internal standard), contained starting 10a (13 mg, 48% recovered), trace p-quinol 13a, plus unidentified impurites. The oily pot residue (1.1 mg, 8% based on consumed 10a) was shown to be 13a by comparison with published spectral data.22

Heating of homologue 10b in $Cl_2CDCDCl_2$ solution at 130–134 °C led within minutes to the appearance of ¹H NMR absorptions due to *p*-quinol 13b. Decomposition of 10b was virtually complete within 0.5 h giving a mixture of materials with 13b as a major component (¹H NMR). Sublimation of 10b (0.0415 g, 0.253 mmol) in vacuo (60 °C, 0.1 mmHg) through a Pyrex tube, packed with Pyrex beads and heated to 250 °C, gave a mixture of materials including 10b and *p*quinol 13b. Sublimation (60 °C, 0.1 mmHg) of the product mixture gave an oily solid (30 mg) which by ¹H NMR analysis (vs. an internal standard) contained starting 10b (12 mg, 28% recovered), trace *p*quinol 13b. plus unidentified impurities. The crystalline pot residue (12.8 mg, 43% based on consumed 10b) was shown to be 13b by comparison with an authentic sample prepared by a literature procedure.²²

Treatment of the lowest homologue **10a** (0.0122 g, 0.0813 mmol) in CDCl₃ solution (ca. 0.5 mL) with a 2% suspension of MeSO₃H in CDCl₃ (10 μ L) produced no change in the ¹H NMR spectrum of **10a** after 5 min at probe temperature (ca. 35 °C). Addition of a second portion of acid (20 μ L of 2% suspension) and storage of the sample at ambient temperature for 24 h gave a mixture showing (¹H NMR) a predominance of starting material **10a** and easily discernible absorptions due to *p*-quinol **13a**.

Treatment of the homologue **10b** (0.0156 g, 0.0951 mmol) in CDCl₃ solution (ca. 0.5 mL) with a 2% suspension of MeSO₃H in CDCl₃ (10 μ L) induced quantitative rearrangement (¹H NMR) to *p*-quinol **13b** within 5 min at probe temperature (ca. 35 °C).

Generation of Oxepin Oxides 10c \Rightarrow 14c (n = 3). Cope Rearrangement to 15c, Axo diepoxide 9c prepared as detailed for $9a^{20}$ displayed mp 89-90 °C dec; ¹H NMR (CDCl₃) δ (Me₄Si) 1.62 (m, 6 H), 2.08 (m, 4 H), 3.38 (AA'XX', 2 H), 5.60 (AA'XX', 2 H); 1R (KBr) 2940, 1445, 1211 cm⁻¹; exact mass calcd for C₁₁H₁₄O₂ (M⁺ - N₂) m/e 178.099, found 178.099. Nitrogen extrusion from 9c in pyridine- d_5 ($t_{1/2}$ ca. 2 days at ambient temperature and ca. 1 h at 60 °C) produces the Cope rearrangement product, oxepin oxide 15c, quantitatively (¹H NMR). Data for 15c; ¹H NMR (py- d_5) δ (Me₄Si) 1.68 (m, 10 H), 4.91 (d, J = 7 Hz, 2 H), 6.28 (d, J = 7 Hz, 2 H); 1R (py- d_5) 1675, 1656 cm⁻¹

Nitrogen extrusion from **9c** in CDCl₃ produces spiro ketone **16c** with only traces of acid-labile, intermediate oxepin oxide **15c** visible (¹H NMR) during the extrusion process. Spiro ketone **16c** was also produced upon partitioning a pyridine- d_5 solution of oxepin oxide **15c** between CDCl₃ and 5% (w/v) aqueous HCl. Data for **16c**; ¹H NMR (CDCl₃) δ (Me₄Si) 1.57 (m, 8 H), 2.53 (m, 2 H), 4.81 (AA'XX', 2 H), 6.43 (AA'XX', 2 H); IR (CHCl₃) 2930, 1699, 1679, 1619 cm⁻¹; exact mass calcd for C₁₁H₁₄O₂ *m/e* 178.099, found 178.100.

Nitrogen extrusion from azo diepoxide 9c (4 mg, 0.019 mmol) at 68 °C ($t_{1/2}$ ca. 20 min) in CDCl₃ solution (ca. 0.5 mL) in the presence of trace acid (10 μ L of a 2% suspension of MeSO₃H in CDCl₃) led to the trapping of 10c and/or 14c. Under these conditions aldehyde 19 and spiro ketone 16c were formed in a ratio of 1:1 (ratio determined

by ¹H NMR was constant throughout the nitrogen extrusion process) ¹H NMR data for 19; (CDCl₃) δ (Me₄Si) 1.96 (br m, 10 H), 3.45 (d of t, J = 4 and 7 Hz, | H), 5.05 (d, J = 7 Hz, 2 H), 9.14 (d, J = 4 Hz, | H); ¹H decoupling, irradiation at δ 3.45 gives 1.96 (br m, 10 H), 5.05 (s, 2 H), 9.14 (s, 1H); irradiation at δ 5.05 gives 1.96 (br m, 10 H), 3.45 (d, J = 4 Hz, | H), 9.14 (d, J = 4 Hz, | H); irradiation at $\delta 9.14$ gives 1.96 (br m, 10 H), 3.45 (t, J = 7 Hz, 1 H), 5.05 (d, J = 7 Hz, 2 H). The IR (CDCl₃) of the mixture of 16c and 19 showed 2738 cm⁻¹ and a broad carbonyl band, 1700-1725 cm⁻¹. Attempted purification of aldehyde 19 was thwarted by its instability.

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Supplementary Material Available: Procedures for the preparation of several materials (as indicated above) (4 pages). Ordering information is given on any current masthead page.

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Quantitative Prediction of Structure–Reactivity Relationships for Unimolecular Reactions of Unsaturated Hydrocarbons. Development of a Semiempirical Model

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Abstract: A simple theoretical model that has previously been used to make qualitative predictions about substituent and benzannelation effects on the rates of thermal pericyclic reactions has now been shown to give quantitative results for a variety of unsaturated hydrocarbon reactions. These reactions include pericyclic and biradical transformations as well as simple homolytic fission to discrete radicals. Substituent effects on the rates of the pericyclic and biradical reactions can be predicted with an uncertainty (least-squares standard deviation) of ± 1.7 kcal/mol, while for homolytic fissions the uncertainty is ± 4.4 kcal/ mol. Possible contributors to the success of the model are discussed. Applications of the model to the Cope rearrangement, Dewar benzene ring opening, and bicyclo[3.2.0]hept-6-ene ring opening are also considered.

A procedure based on simple Hückel molecular orbital (HMO) theory has recently been shown to provide some insight into the qualitative effects of substituents¹ and of benzannelation² on the rates of pericyclic reactions. We now report that the same approach provides a quantitative description of these structure-reactivity relationships if one considers only unsaturated hydrocarbon reactants. In addition, the model is found to be applicable to a number of radical and biradical reactions.

The technique involves selection of appropriate models for the reactant and transition state, and then evaluation of the Hückel π -electron energy (E_{π}) for each. If ΔE_{π} is defined as the difference in π -electron energy between the model transition state and reactant, the quantity $\Delta\Delta E_{\pi}$ is then the difference in ΔE_{π} for two reactions whose rates (or, more specifically, activation enthalpies) are to be compared. In the case of cyclobutene ring openings, it has been noted previously² that there is an apparent linear relationship between $\Delta\Delta E_{\pi}$ and $\Delta\Delta H^{\pm}$, the change in observed activation enthalpy that occurs upon replacement of the cyclobutene double bond by an annelated benzene ring or a pair of exocyclic methylene groups. Since the original investigation covered only four sets of reactions, it was not clear whether this relationship was fortuitous or genuine. The present work extends the investigation to 24 examples of widely varying hydrocarbon reactions and results in a linear $\Delta\Delta E_{\pi}/\Delta\Delta H^{\pm}$ relationship covering a range of >50 kcal/mol in $\Delta \Delta H^{\pm}$.

The data are classified by reaction type and will be discussed in separate sections. Since the experimental data are drawn from many different sources, some reporting activation energy